

From: kate@strategiccompliancesolutions.com [mailto:kate@strategiccompliancesolutions.com]
Sent: Friday, April 08, 2011 8:19 AM
To: Fallon-Houle, Nancy
Subject: RE: NFA Compliance

Hi Nancy,

Nice to "meet" you too. I am running at the moment but will take a look at the list this afternoon. Thank you for your kind offer. Feel free to check out our website www.StrategicComplianceSolutions.com for info on the services we provide. Please note that on the FINRA side, we either refer (for non-clients) or private label work (for clients with dual registrations).

I look forward to speaking with you.

Regards,

Kate

-----Original Message-----

From: "Fallon-Houle, Nancy" <nfallon@nfhlaw.com>
Sent: Thursday, April 7, 2011 10:01pm
To: kate@strategiccompliancesolutions.com, kfox@foxinspires.com
Subject: NFA Compliance

Thank you Kristin.

Kate, pleased to make your acquaintance. See below for a list of documents that I have created and maintain for early stage and emerging fund managers. Let me know if you'd like to have an email copy of any of them.

Please send me an electronic brochure of the services you provide, as I'm certain that you do some things that I don't do (Forex, FCM and IB registrations to name a few.)

Do you handle AML compliance? If not, I have a great contact at Spectrum (now Alphamatrix360) who does.

Nancy Fallon-Houle
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From: kfox@foxinspires.com [mailto:kfox@foxinspires.com]
Sent: Thursday, April 07, 2011 3:29 PM
To: Fallon-Houle, Nancy
Cc: kate@strategiccompliancesolutions.com
Subject: Re: CTA Compliance

Nancy Fallon-Houle, I'd like to introduce Kate Dressel of Strategic Compliance Solutions. Nancy is a Chicago attorney in the CTA/hedge fund space (also a friend of Annette C.) and I think the two of you should definitely know one another.

Have fun ladies!

Kristin

-----Original Message-----

From: Fallon-Houle, Nancy [mailto:nfallon@nfhlaw.com]
Sent: Wednesday, April 6, 2011 04:03 PM
To: 'kfox'